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# THE EFFECT OF A CRACKED TOOTH ON THE DYNAMIC RESPONSE OF A SIMPLE GEARBOX WITH A FLEXIBLE COUPLING UNDER ACYCLISM OPERATION

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In this paper, the effect of a cracked tooth on the dynamic response of a simple gearbox with a flexible coupling is studied. The gearbox is driven by a combustion engine through a flexible coupling for which Nelson and Crandall's model is used. The acyclism regime is generated by diesel engine inducing fluctuations of speed, torque and meshing stiffness. In addition, the cracked tooth of the wheel gear is modelled by lowering the meshing stiffness. After solving the equations of motion by using the Newmark method, the time response, spectrum, joint time-frequency analysis and envelope spectrum are used to characterize the dynamic response of the defected gearbox where the cracked tooth frequency is irregular and independent of the acyclism frequency.

Keywords: acyclism, cracked spur gearbox, dynamic behavior, elastic coupling

## 1. Introduction

Gear transmissions are often used to transmit torque and rotational movement generated by a driving motor to a receiver. They are frequently running under severe conditions causing dynamic problems. Many research investigations focused on the dynamics of gear transmissions such as Bartelmus (2001) who studied the effect of clutch damping on the dynamic factor of a gear transmission. In addition, tooth contact conditions and backlash effects on chaotic vibrations of a simple gearbox were highlighted by Łuczko (2008). Hammami *et al.* (2015) carried out an impact test applied to a planetary gear box with power recirculation in order to characterize its modal properties.

Gear transmissions can be driven by a combustion engine running under a transient regime called acyclism. Many researchers have focused on this acyclism regime. We can cite Barthod *et al.* (2007a) who investigated the dynamic and the acoustic behavior of different kinds of gearboxes running under the acyclism regime. Sika and Velex (2008) used a torsional gear model to study the effect of the variable speed of an engine which was modeled through a multi-harmonic function. A spur gear driven by a diesel engine was also investigated by Khabou *et al.* (2011) who used an approximate formula to compute the engine torque for the case of unknown pressure inside cylinders. Hmida *et al.* (2018) used the same approximation of the engine torque and they studied the effect of eccentricity localized in the wheel gear on the dynamic response of the mechanical system composed by a diesel engine, elastic coupling and a spur gearbox. The loose gear is a common phenomenon in an automotive gearbox, it depends on the level of acyclism and the loose gear inertia, and it generates rattle noise. Baudin *et al.* (2016) studied this behavior and exploited an angle/time cyclo-stationary approach and the order/frequency spectrum to study backlashes of gears during run-up.

Gear transmissions are also excited through inevitable defects such as cracked teeth, which is at the origin of teeth contact loss as well as reduction of gear mesh stiffness leading to apparition of sidebands around meshing frequencies and their harmonics as mentioned by Chaari *et al.* (2009), Driss *et al.* (2014) and Ma *et al.* (2015). The cracked tooth can be a distributed defect. This kind of defect was studied by Fakhfakh *et al.* (2005) who noticed an increase in both the signal amplitude and spectral component in stationary conditions through simulation and experimental tests.

Many researchers studied also the effects of different crack levels on gear mesh stiffness, see Chaari *et al.* (2009). Later, Ma *et al.* (2014) proposed an improved mesh stiffness model which characterized depth, width, initial position and crack propagation direction of a tooth. They correlated firstly this model with the finite element method and then the numerical dynamic behavior in stationary conditions with experiments. In addition, Saxena *et al.* (2017) studied the effect of mesh stiffness of a healthy and cracked gear tooth on the modal and frequency response of a geared rotor system which was modelled using Timoshenko beam elements. In order to classify tooth defects like spall and crack faults, Park *et al.* (2018) applied the Ensemble Empirical Mode Decomposition to the measured transmission error which was correlated with the simulated transmission error obtained from the Finite Element Method.

Gear transmission can be integrated in a drive train with the engine, clutch and disc brake. Ghorbel *et al.* (2018) proposed a dynamic model of the drive train taking into account nonlinear dry friction of the clutch. They supposed that the speed and the load of the engine were stationary and they studied effects of tooth defects (tooth local fault and tooth profile error) on the dynamic response in time and frequency domains. In fact, the level of the time signal increases in the case of the profile error, and sidebands appear in the frequency domain in the case of a tooth local fault.

In this work, the studied system is composed of a cracked gearbox which is driven by a diesel engine and connected through an elastic coupling for which a model proposed by Nelson and Crandall (1992) is selected. Excitations induced by torque and speed fluctuations of the diesel engine from one side and the cracked tooth of the spur gearbox are considered by a numerical model. The combustion engine torque is computed taking into account the variation of pressure inside cylinders, and a particular case of the cracked tooth in the wheel gear where the gear meshing frequency is variable and the greatest common divisor between the number of tooth of the pinion and the gear is equal to one. In this case, the frequency of the defect is independent of the frequency of acyclism and it is variable in time. The dynamic response in these conditions is computed using the Newmark method, and the results are shown using joint time-frequency analysis and the envelope spectrum of acceleration signals.

### 2. Model and equation of motion of a simple gearbox with a flexible coupling

In the studied mechanical system, the mechanical power delivered by the diesel engine is transmitted to the receiver through a flexible coupling and a cracked tooth gearbox. These components are assumed rigid and they are connected through three shafts which are carried by three bearings.

In the dynamic model (Fig. 1), each shaft is stressed by torsion, and it is modeled by torsional stiffness  $K_{\theta i}$  and torsional damping  $C_{\theta i}$  (i = 1, 2, 3). The translation motion of the bearings is modeled with springs  $(K_{xi}, K_{yi})$  and damping  $(C_{xi}, C_{yi})$ . In the gearbox, the gearing between the pinion and the wheel is presented by the mesh stiffness  $K_m$  and the mesh damping  $C_{qm}$ .

The model proposed by Nelson and Crandall (1992) is selected for the coupling because it is the supremacist model to describe the behavior of the flexible coupling (Tadeo *et al.*, 2011). This coupling is considered by two disks connected through two translation stiffnesses  $(K_{xc}, K_{yc})$ , a



Fig. 1. Model of the simple gearbox with a flexible coupling

torsional stiffness  $K_{\theta c}$ , two translation dampings  $(C_{xc}, C_{yc})$  and a torsional damping  $C_{\theta c}$ . Inertial effects of the coupling are included in the first  $I_{12}$  and the second block  $I_{21}$ .

This dynamical model is composed of three blocks as shown in Fig. 1.

The equation of motion of the proposed model is derived using Lagrange's formalism

$$\mathbf{M}\ddot{\mathbf{q}} + (\mathbf{C}_{mesh} + \mathbf{C}_s)\dot{\mathbf{q}} + [\mathbf{K}(t) + \mathbf{K}_s]\mathbf{q} = \mathbf{F}(t)$$
(2.1)

where  $\mathbf{q}$  is the vector containing degrees of freedom of the system

$$\mathbf{q} = [\theta_{11}, \theta_{12}, \theta_{21}, \theta_{22}, \theta_{31}, \theta_{32}, x_1, y_1, x_2, y_2, x_3, y_3]$$
(2.2)

and **M** is the mass matrix,  $\mathbf{K}_s$  and  $\mathbf{K}(t)$  are the stiffness matrices of the structure and gear meshing,  $\mathbf{C}_s$  and  $\mathbf{C}_{mesh}$  are the damping matrices of the structure and gear meshing,  $\mathbf{F}(t)$  is the loading vector.

The gyroscopic effect is neglected since the engine regime is low (N = 1000 rpm).

Readers can refer to Hmida et al. (2017) for details concerning these matrices.

# 3. Numerical simulations

In this Section, the effect of the acyclism and cracked tooth are introduced into the model. The parameters of the model are given in Table 1.

Table 1. Parameters of the model

Parameters of the gearbox	
Number of teeth [-]	$Z_{22} = 7, Z_{31} = 11$
Mass [kg]	$M_{22} = 1.77, m_{31} = 2.5$
Pressure angle [°]	$\alpha = 20$
Teeth module [m]	$m_n = 5 \cdot 10^{-3}$
Contact ratio [-]	$\varepsilon_{\alpha} = 1.33$
Average mesh stiffness [N/m]	$K_{moy} = 7.175 \cdot 10^7$
Coupling characteristics	
Inertia $[kg m^2]$	$4 \cdot 10^{-3}$
Mass [kg]	4.5
Torsional stiffness of coupling [Nm/rad]	352
Translation stiffness of coupling [N/m]	$462 \cdot 10^{2}$
Characteristics of the engine motor	
Inertia $[kg m^2]$	$4 \cdot 10^{-3}$
Length of the connecting rod $L$ [mm]	138
Radius of the crank $R$ [mm]	40
Piston stroke [mm]	80
Alternative mass [kg]	0.6
Cylinders number [–]	4
Cylinder diameter $\emptyset$ [mm]	92
Unit cylinder capacity $V_{cyl}$ [cm <sup>3</sup> ]	562
Engine regime $N$ [rpm]	1000
Maximum pressure inside cylinders $P_{max}$ [bar]	24
Crank angle for maximum pressure $[^{\circ}]$	380
Receiver characteristics	
Inertia $[kg m^2]$	$6 \cdot 10^{-3}$
Shafts and bearings parameters	
Torsional stiffness of shafts [Nm/rad]	$5 \cdot 10^{8}$
Stiffness of bearing [N/m]	$5 \cdot 10^{8}$

#### 3.1. Effect of acyclism

During the power stroke, the speed and torque generated by a diesel engine are variable.

The angular speed of the diesel engine  $\Omega(t)$  is a multi-harmonic function as shown in Fig. 2 (Sika and Velex, 2008).

The multi-harmonic evolution of the angular speed of the diesel engine generates a cyclic fluctuation of the gear mesh function as shown in Fig. 3.

The combustion engine develops a torque  $T_{ce}$  which is the sum of the moment of combustion  $T_{vg}$  and the moment of inertia of the engine inertia  $T_{vi}$  (Ligier and Baron, 2002)

$$T_{ce} = T_{vg} + T_{vi} \tag{3.1}$$



Fig. 2. Multi-harmonic evolution of the angular speed of the diesel engine



Fig. 3. Cyclic function of the mesh stiffness

The moment of inertia of the engine inertia  $T_{vi}$  is defined according to Ligier and Baron as

$$T_{vi} = -2m_{alt}R^2\omega^2\sin(2\alpha_c) \tag{3.2}$$

where  $\alpha_c$  is the crankshaft angular position,  $m_{alt}$  is the alternative mass, R is the radius of the crankshaft and  $\omega = (2\pi N)/60$  is the crankshaft angular velocity.

The moment of combustion  $T_{vg}$  is defined as following (Ligier and Baron, 2002)

$$T_{vg} = -F_g R \sin \alpha_c \left( 1 + \frac{\cos \alpha_c}{\sqrt{\lambda^2 - \sin^2 \alpha_c}} \right)$$
(3.3)

with  $\lambda = L/R$  is the connecting rod length to crank radius ratio,  $F_g$  is the force applied to the piston

$$F_g = \frac{\pi \emptyset^2}{4} P(\alpha_c) \tag{3.4}$$

where  $\emptyset$  is the cylinder diameter. The variation of pressure in the cylinder  $P(\alpha_c)$  is defined as a hanning window function which reaches its maximum at 380° for each cylinder since the combustion of the air/fuel mixture is not instantaneous at the Top Dead Center (TDC).

The diesel engine torque is also cyclic as shown in Fig. 4.



Fig. 4. Cyclic evolution of the diesel engine torque

### 3.2. Effect of cracked tooth

The approach to the modelling of the cracked tooth is based on lowering of the gear mesh stiffness as shown on the dotted circle in Fig. 5.

#### 3.3. Numerical results

The Newmark method is used to solve the equation of motion of the system.

In fact, the displacement vector  $\mathbf{q}_{t+\Delta t}$ , the speed vector  $\dot{\mathbf{q}}_{t+\Delta t}$  and the acceleration vector  $\ddot{\mathbf{q}}_{t+\Delta t}$  are computed from the anterior vectors  $\mathbf{q}_t$ ,  $\dot{\mathbf{q}}_t$  and  $\ddot{\mathbf{q}}_t$  by respecting these steps (Dhatt and Touzot, 1984):

1. For initial conditions,  $\mathbf{q}_{t=0}$ ,  $\dot{\mathbf{q}}_{t=0}$  and  $\ddot{\mathbf{q}}_{t=0}$  are zero vectors

2. The coefficients  $a_i$  are computed as following

$$a = 0.5 a_0 = \frac{1}{a\Delta t^2} a_1 = \frac{b}{a\Delta t}$$

$$a_2 = \frac{1}{a\Delta t} a_3 = \frac{1}{2a-1} a_4 = \frac{b}{a-1}$$

$$a_5 = \frac{\Delta t}{2} \left(\frac{b}{a} - 2\right) a_6 = \Delta t(1-b) a_7 = b\Delta t$$
(3.5)



Fig. 6. Bearing displacement of the second block  $(X_2)$ 



Fig. 7. Acceleration signal of the bearing of the second block (a) case study: effects of acyclism and the cracked tooth (b), healthy gearbox excited with acyclism



Fig. 8. (a) Time response in the stationary conditions in presence of the cracked tooth, (b) spectrum of acceleration of the cracked tooth in the stationary conditions

3. For each iteration

$$\begin{aligned} \widehat{\mathbf{K}} &= \mathbf{K}(t) + \mathbf{K}_s + a_0 \mathbf{M} + a_0 (\mathbf{C}_{mesh} + \mathbf{C}_s) \\ \mathbf{q}_{t+\Delta t} &= \widehat{\mathbf{K}}^{-1} [\mathbf{F}_{t+\Delta t} + \mathbf{M}(a_0 \mathbf{q}_t + a_2 \dot{\mathbf{q}}_t + a_3 \ddot{\mathbf{q}}_t) + (\mathbf{C}_{mesh} + \mathbf{C}_s)(a_1 \mathbf{q}_t + a_4 \dot{\mathbf{q}}_t + a_5 \ddot{\mathbf{q}}_t)] \\ \ddot{\mathbf{q}}_{t+\Delta t} &= a_0 (\mathbf{q}_{t+\Delta t} - \mathbf{q}_t) - a_2 \dot{\mathbf{q}}_t - a_2 \ddot{\mathbf{q}}_t \end{aligned}$$
(3.6)

Figure 6 shows periodic behavior of the bearing displacement of the second block. Its periodicity corresponds to the acyclism period. In the zoomed figure, the dotted circles mark small oscillations resulting from the influence of the tooth contact conditions. These oscillations are modulated by the acyclism effect.

The acceleration signal of the bearing of the second  $block(X_2)$  is shown in Fig. 7a, and it is zoomed in Fig. 7b. This signal is modulated by the acyclism and the cracked tooth defect.

In order to study the contribution of each two excitations, new simulations are added. Figures 7b and 8a show respectively the case of a healthy gearbox excited with acyclism and the stationary conditions in presence of the cracked tooth with the same severity. In fact, the shape of acceleration of the healthy gearbox excited with acyclism (Fig. 7b) agrees with the experimental signal of the unloaded gear acceleration recorded by Barthod *et al.* (2007a,b).

Figure 8a shows acceleration of the case of a constant load and speed (stationary case) in presence of the cracked tooth with the same severity. In this figure (Fig. 8a), it is shown that distinct impulses, with approximate amplitude  $100 \text{ m/s}^2$  representing the mating of the cracked tooth, appear and the time interval between every two adjacent impulses is exactly equal to the rotating period of the driven gear because the influence caused by the cracked tooth repeats only once in a revolution of the driven gear. This behavior in the case of the cracked tooth gearbox

has proved by Ma *et al.* (2015) and Fakhfakh *et al.* (2005) who confirmed experimentally the apparition of sidebands around the gear mesh stiffness and its harmonics as shown in Fig. 8b.

Two zoomed graphs around [0.3 s-0.6 s] and [0.9 s-0.11 s] in both case studies (Fig. 7a) and the case of the healthy gearbox excited with acyclism (Fig. 7b) are shown. Comparing the zoomed graphs in each time interval, we can identify the peaks corresponding to the crack defect with nearly the same amplitude but with low energy, observing that the acyclism effect dominates over the amplitude of the time response.

Spectral analysis is one of the most widely used techniques. Indeed, analysis of the spectrum of acceleration on the bearing of the second block  $(X_2)$  can provide information about their state but only the eigen frequencies of the system  $f_i$  which are listed in Table 2 and the meshing frequencies appear in Fig. 9.



**Table 2.** Eigen frequencies  $f_s$  [Hz] (s = 1, 2, ..., 12) of the studied system

Fig. 9. The spectrum of acceleration on the bearing of the second block  $(X_2)$ 

The spectrum of acceleration is not suitable to analyze the dynamic behavior of the gearbox running under a cyclo-stationary regime and in the presence of the cracked tooth defect. So, we used two signal techniques which are joint time frequency analysis and envelope spectrum of the acceleration signal in order to characterize the cracked tooth faults in the case of the acyclism regime.

Figure 10 represents the Wigner-Ville distribution of the acceleration on the bearing of the second block  $(X_2)$  in order to analyze the cyclo-stationary signal.



Fig. 10. Distribution of the Wigner-Ville of the acceleration on the bearing of the second block  $(X_2)$ 

Due to the acyclism regime, we can note from the previous figure the variability of the internal source of excitation, which is the meshing frequency and its harmonics, and horizontal lines representing the eigen frequencies of the mechanical system. Nevertheless, the effect of the tooth defect is not observed.

Figure 11 shows the envelope spectrum of the acceleration signal of the bearing of the second block.



Fig. 11. Spectrum envelope of the acceleration signal in the X direction of the second block

The spectrum envelope shows several harmonics of the frequency of acyclism ( $f_{acy} = 16.67 \text{ Hz}$ ).

The zoom on the frequency band [0 Hz-150 Hz] is shown also in Fig. 11.

We note that the diesel engine generates a variable speed where its frequencies of rotation are in the band [967.34 Hz-1032.3 Hz], the gear mesh frequency varies from 112.85 Hz to 120.43 Hz and the frequency of the defect varies from 10.26 Hz to 10.95 Hz. So, the two peaks located at 10.51 Hz and 43.4 Hz are due to the cracked tooth. In addition, sidebands appear around the frequency of acyclism and its harmonics. The frequency of sidebands is  $f_{acy}/Z_{31} = 1.51$  Hz.

The angular speed of the pinion is equal to the speed of the diesel engine and the greatest common divisor of the number of teeth of the pinion and the defected wheel gear  $(Z_{22} \text{ and } Z_{31})$  is one. So, the appearance of defect happens with the same way each  $_{Tacy} \times Z_{31}$  period and the frequency  $f_{acy}/Z_{31}$ .

## 4. Conclusion

In this paper, the dynamic response of a mechanical system composed of a cracked gearbox and a flexible coupling is studied under the acyclism regime generated by a combustion engine. This regime generates variable speed which is modeled as a multi-harmonic function and a variable torque, which is computed for the case of known variation of the pressure inside cylinders. A cracked tooth in the gear is introduced by lowering of the gear mesh stiffness for a particular case where the greatest common divisor between the pinion and gear teeth numbers is equal to one. The effects of the cracked tooth and the acyclism are studied independently, and the obtained results agree with experiments in literature.

In the studied system running under a cyclo-stationary regime, the frequency of the cracked defect is independent of the acyclism regime. Time acceleration and spectral analysis fail to detect the frequencies of the defects and the acyclism. The Wigner-Ville distribution and the spectrum envelope of the dynamic response are used to provide information about their state. In fact, the meshing frequency and its harmonics variability in each acyclism period are observed in a joint time-frequency presentation, and the apparition of sidebands around the frequency of acyclism on the envelope spectrum proves the influence of the cracked tooth defect on the wheel gear which occurs with the same acyclism period multiplied by the number of teeth of the gear wheel.

In the future works, we propose to carry out experiments in order to confirm the theoretical analyses of the combined effects.

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